



Receipt

PATENT
0505-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Christopher KEITH

Filed : March 8, 2001

Group Art Unit:

Examiner :

Serial No.: 09/801,848

Confirmation No.: 1156

Title: PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS

RECEIVED

SEP 04 2001

Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that the following attached paper(s) and/or fee

1. Request for Correction of Filing Receipt
2. Copy of Filing Receipt
3. Return receipt postcard

are being deposited with the United States Postal Service with sufficient postage as first class mail under 37 C.F.R. § 1.8 on the date indicated below in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: May 3, 2001

Brenda Pomerance
Brenda Pomerance

CORRESPONDENCE ADDRESS:

Brenda Pomerance
260 West 52 St. Apt. 27B
New York, NY 10019
212 245-3940



PATENT
0505-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

SEP 04 2001

Technology Center 2100

Applicant: Christopher KEITH

Filed: March 8, 2001

Art Unit: 2165

Examiner:

Serial No.: 09/801,848

Confirmation No.: 1156

Title: PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS

REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Dear Sir:

The Filing Receipt for the above-referenced application is incorrect because it lacks an attorney docket number. Please add the following attorney docket number:

0505-4002

as shown in red ink on the attached copy of the Filing Receipt.

Please issue a corrected Filing Receipt incorporating the requested correction.

Please call the undersigned attorney if there are any questions.

Respectfully submitted,

Date: May 3, 2001

Brenda Pomerance

Brenda Pomerance
Reg. No. 36,894

Address:

260 West 52 St. Apt. 27B
New York, NY 10019
voice/fax 212 245-3940



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/801,848	03/08/2001	2165	1147	0505-4002	91	108	2

RECEIVED

SEP 04 2001

Technology Center 2100

CONFIRMATION NO. 1156

CORRECTED FILING RECEIPT



OC0000000005998965

Date Mailed: 04/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Christopher Keith, New York, NY;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/546,031 04/10/2000

Foreign Applications

If Required, Foreign Filing License Granted 04/19/2001

Projected Publication Date: 10/11/2001

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Platform for market programs and trading programs

Preliminary Class

705



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

10-2301
 10-2301



CONFIRMATION NO. 1156

Bib Data Sheet

SERIAL NUMBER 09/801,848	FILING DATE 03/08/2001 RULE	CLASS 705	GROUP ART UNIT 2165	ATTORNEY DOCKET NO. 0505-4002
-----------------------------	-----------------------------------	--------------	------------------------	-------------------------------------

APPLICANTS

Christopher Keith, New York, NY;

** CONTINUING DATA *****

THIS APPLICATION IS A CIP OF 09/546,031 04/10/2000

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **

** 04/19/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY NY	SHEETS DRAWING 91	TOTAL CLAIMS 108	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				

Verified and
Acknowledged

Examiner's Signature _____ Initials _____

ADDRESS

24259

TITLE

Platform for market programs and trading programs

FILING FEE RECEIVED 1147	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
--------------------------------	---	---